

2021 Annual Performance Report
(2021 APR)
- Final for Public Comment
2-28-2022
RL

OMB CONTROL NUMBER: 2577-0218

EXPIRATION DATE: 07/31/2019

Grant Number: 55-IT-06-15020

Report: APR Report for 2021 (Amended)

First Submitted On:

Last Submitted On:

Cover Page

Grant Information:

Grant Number	55-IT-06-15020
Recipient Program Year	01/01/2021-12/31/2021
Federal Fiscal Year	2021
Initial Indian Housing Plan (IHP):	
Amended Plan	Yes
Annual Performance Report (APR):	Yes
Amended Plan	
Tribe:	Yes
TDHE:	

Recipient Information:

Name of the Recipient	Federated Indians of Graton Rancheria
Contact Person	Sarris, Greg
Telephone Number with Area Code	707-566-2288
Mailing Address	6400 Redwood Drive, Ste 300
City	Rohnert Park
State	CA
Zip	94928
Fax Number with Area Code	707-566-2291
Email Address	lross@gratonrancheria.com

TDHE/Tribe Information:

Tax Identification Number	680466465
DUNS Number	120901041
CCR/SAM Expiration Date	01/07/2023

Planned Grant-Based Budget for Eligible Programs:

IHBG Fiscal Year Formula Amount	\$698,518.00
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Housing Needs

Type of Need (A)	Low-Income Indian Families (B)	All Indian Families (C)
Overcrowded Households	<input type="checkbox"/>	<input type="checkbox"/>
Renters Who Wish to Become Owners	<input type="checkbox"/>	<input type="checkbox"/>
Substandard Units Needing Rehabilitation	<input type="checkbox"/>	<input type="checkbox"/>
Homeless Households	<input type="checkbox"/>	<input type="checkbox"/>

Households Needing Affordable Rental Units	
College Student Housing	
Disabled Households Needing Accessibility	
Units Needing Energy Efficiency Upgrades	
Infrastructure to Support Housing	
Other (specify below)	
Planned Program Benefits	<p>This year's housing strategy will continue to focus on addressing the Tribe's critical needs as follows: 2021-01a: Rental Assistance Program Services for Low-Income Households. This program provides security deposit assistance and monthly rental payment assistance for low-income Tribal Citizen families. This program addresses homelessness, overcrowding, and helps families move from sub-standard housing into safe and sanitary living conditions. 2021-01b: Rental Assistance Program Services for Moderate Income Elder Households. This program provides security deposit assistance and monthly rental payment assistance for moderate-income elder, Tribal Citizen families. This program addresses homelessness, overcrowding, and helps families move from sub-standard housing into safe and sanitary living conditions. 2021-02: Operating and Maintenance: This program allows FIGR to continue to eliminate homeless situations by assisting households needed affordable rental units with the FIGR's inventory of ICDBG/NAHASDA acquired rentals. FIGR will protect the investment of their limited ICDBG/NAHASDA grant funds by maintaining the units and common areas of the FIGR properties for current and future use by the qualified Tribal Citizens of FIGR. 2021-03: Home Rehabilitation Assistance: The Tribe will continue providing homeowners rehabilitation assistance for low-income Tribal Citizen's homes. 2020-04: Homebuyer Assistance: This program allows for low-income Tribal Citizen households to receive down payment and closing cost assistance from the Tribe to purchase a home in the private market. This program addresses the needs of the low-income families identified above and allows renters to become homeowners. 2021-05 Homebuyer, Tenant, and Rental Assistance Participant Financial Education: The Tribe will continue to provide homebuyer, tenant, and rental assistance households financial literacy education workshops and one on one counseling, debt elimination, credit worthiness, savings account accrual that promotes homeownership for eligible Tribal Citizen households seeking to stabilize and improve their credit so as to be eligible to obtain a reasonable loan in the private market that are available to those Tribal Citizen with a good credit history.</p>
Geographic Distribution	<p>2021-01a: Rental Assistance Program Services for Low-Income Households and 2021-01b: Rental Assistance Program Services for Moderate Income Elder Households. These rental assistance programs will provide temporary emergency rental assistance,</p>

tenant based rental assistance, and security deposits for Tribal Citizens living in rental housing or is homeless in all areas of the United States. 2021-02: Operating and Maintenance. FIGR's current inventory of ICDBG/NAHASDA acquired rentals are located in Sonoma County. 2021-03: Home Rehabilitation Assistance Program will provide assistance to qualified Tribal Citizens in the Tribe's eight county service area of Sonoma, Napa, Marin, Mendocino, Lake, Solano, Yolo, and Sacramento Counties. 2021-04: Homebuyers Assistance Program will provide assistance to qualified Tribal Citizens in all California counties. 2021-05: Homebuyer, Tenant, and Rental Assistance Participant Financial Education will provide assistance to Tribal Citizens at the Tribe's office and meeting room locations in Sonoma County.

Programs

2021-01a : Rental Assistance Program for Low Income Households

Program Name:	Rental Assistance Program for Low Income Households								
Unique Identifier:	2021-01a								
Program Description (continued)	Provides security deposits, temporary emergency rental assistance and monthly rental assistance payments for qualified tribal citizens of FIGR.								
Eligible Activity Number	(17) Tenant Based Rental Assistance [202(3)]								
Intended Outcome Number	(5) Address homelessness								
APR: Actual Outcome Number	(5) Address homelessness								
Who Will Be Assisted	Low income FIGR Tribal Citizen households where the household's income will not exceed 80% of median income for the service area.								
Types and Level of Assistance	The type of assistance will be monetary assistance such as temporary emergency rental assistance up to 3 months not to exceed \$6,000 in a 12 month period and for security deposit assistance up to \$3,000 once every 2 years. Monthly rental assistance for up to 60 months and no term limits for the Elderly and Disabled Head of Households insuring that the qualified Tribal Citizen household is not paying more than 30% of their adjusted income for rent during the terms.								
APR : Describe Accomplishments	The Tenant Based Rental Assistance Program served 57 low-income households during the program year. Of the households served, 5 were elder households and 4 were disabled households. One household received emergency housing assistance and 13 households received security deposit assistance. For the household that received emergency assistance, the Tribal Council approved a total assistance maximum amount of \$9,000 (50% over the anticipated maximum budget amount of \$6,000) due the specific needs of the household requiring the assistance. The Tribal Council also raised the emergency assistance level to \$10,000 for the 2022 program year.								
Planned and Actual Outputs for 12-Month Program Year	<table><tr><td></td><td>Planned</td><td>APR - Actual</td></tr><tr><td>Number of Households to be</td><td>50</td><td>57</td></tr></table>				Planned	APR - Actual	Number of Households to be	50	57
	Planned	APR - Actual							
Number of Households to be	50	57							

	served in Year
APR: If the program is behind schedule, explain why	N/A

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$670,940.00	\$0.00	\$670,940.00	\$581,109.25	\$0.00	\$581,109.25
2021-01b : Rental Assistance Program for Moderate Income Elder Households					
Program Name:			Rental Assistance Program for Moderate Income Elder Households		
Unique Identifier:			2021-01b		
Program Description (continued)			Provides security deposits, temporary emergency rental assistance and monthly rental assistance payments for qualified tribal citizens of FIGR.		
Eligible Activity Number			(17) Tenant Based Rental Assistance [202(3)]		
Intended Outcome Number			(5) Address homelessness		
APR: Actual Outcome Number			(5) Address homelessness		
Who Will Be Assisted			Moderate income FIGR Tribal Elder Citizen households where the household's income will be greater than 80%, but less than 100%, of the median income for the service area.		
Types and Level of Assistance			The type of assistance will be monetary assistance such as temporary emergency rental assistance up to 3 months not to exceed \$6,000 in a 12 month period and for security deposit assistance up to \$3,000 once every 2 years. Monthly rental assistance for up to 60 months and no term limits for the Elderly and Disabled Head of Households insuring that the qualified Tribal Citizen household is not paying more than 30% of their adjusted income for rent during the terms.		
APR : Describe Accomplishments			The program assisted six moderate income elder households with tenant based rental assistance during the program year.		
Planned and Actual Outputs for 12-Month Program Year			Planned		APR - Actual
			Number of Households to be served in Year		56
APR: If the program is behind schedule, explain why			N/A		

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$50,000.00	\$0.00	\$50,000.00	\$54,361.33	\$0.00	\$54,361.33

2021-02 : Operation and Maintenance of Current Housing Units

Program Name:	Operation and Maintenance of Current Housing Units	
Unique Identifier:	2021-02	
Program Description (continued)	This program allows FIGR to continue to eliminate homeless situations by assisting households needing affordable rental units with the FIGR's inventory of 2 ICDBG/NAHASDA acquired single family rental homes. FIGR will protect the investment of their limited NAHASDA grant funds by maintaining the units and common areas associated with those units of FIGR's properties for current and future use by the qualified Tribal Citizens of FIGR.	
Eligible Activity Number	(20) Operation and Maintenance of NAHASDA-Assisted Units [202(4)]	
Intended Outcome Number	(6) Assist affordable housing for low income households	
APR: Actual Outcome Number	(6) Assist affordable housing for low income households	
Who Will Be Assisted	Low income FIGR Tribal Citizen households where the household's income will not exceed 80% of median income for the Tribe's designated service area.	
Types and Level of Assistance	The type of assistance will be maintenance, community area improvements to maintain and improve the rental properties, increase energy efficiency in the units as needed, improve accessibility and maintain the integrity of the property for the low-income qualified Tribal Citizens who reside in these rental properties.	
APR : Describe Accomplishments	The Tribe provided maintenance work, repairs, and improvements on two Tribally owned housing units throughout the year including on-going landscape maintenance and trash service for both units. Also during the program year, a roof leak in one of the homes was identified and repaired, including interior finishes affected by the leak.	
Planned and Actual Outputs for 12-Month Program Year	Number of Units to be Completed in Year	Planned 2 APR - Actual 2
APR: If the program is behind schedule, explain why	N/A	

Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$20,808.00	\$0.00	\$20,808.00	\$18,412.47	\$0.00	\$18,412.47

2021-03 : Home Rehabilitation Assistance

Program Name:	Home Rehabilitation Assistance						
Unique Identifier:	2021-03						
Program Description (continued)	Allows for income qualified Tribal Citizen Home Owners to apply for home improvements/rehabilitation to their home in order to secure and/or maintain the integrity of the home. Reduce unsafe, unhealthy living conditions present in the home, upgrade the home to eliminate overcrowded living conditions, and/or retro fit the home to meet the needs of elderly families, disabled families or growing families with children.						
Eligible Activity Number	(16) Rehabilitation Assistance to Existing Homeowners [202(2)]						
Intended Outcome Number	(3) Improve quality of substandard units						
APR: Actual Outcome Number	(3) Improve quality of substandard units						
Who Will Be Assisted	Low Income FIGR Tribal Citizen Households where the household income will not exceed 80% of the median income for the service area.						
Types and Level of Assistance	The type of assistance will be rehabilitation and home improvement to reduce overcrowding, improve energy efficiency, improve accessibility and maintain the integrity of the structure for the low income qualified Tribal Citizens' homes. The level of assistance will be up to \$75,000.00.						
APR : Describe Accomplishments	Although two projects were initiated during the program year, only one home rehabilitation project was completed for a low-income elder household (HVAC system replacement & deck replacement). The second project was initiated, but could not be completed during the program year. (see explanation below)						
Planned and Actual Outputs for 12-Month Program Year	<table><tr><td></td><td>Planned</td><td>APR - Actual</td></tr><tr><td>Number of Units to be Completed in Year</td><td>2</td><td>1</td></tr></table>		Planned	APR - Actual	Number of Units to be Completed in Year	2	1
	Planned	APR - Actual					
Number of Units to be Completed in Year	2	1					
APR: If the program is behind schedule, explain why	A rehabilitation project for a dilapidated mobile home could was initiated, but not completed. The project involved replacing the home with a newer, donated mobile home. The donated home to be used ended up not meeting the city's size requirements for a replacement home. An alternate replacement home could not be found to meet the parameters of the original project and the program requirements, resulting in the cancelation of the original project. The Tribe continued to work on alternative solutions for the						

applicant and has now approved replacing the home with a Tribally funded program.

Uses of Funding:

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Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$117,548.00	\$0.00	\$117,548.00	\$97,254.83	\$0.00	\$97,254.83

2021-04 : Homebuyer Down Payment Assistance

Program Name:	Homebuyer Down Payment Assistance						
Unique Identifier:	2021-04						
Program Description (continued)	Allows for income qualified Tribal Citizen Families to purchase a home in the private market with down payment assistance from FIGR. This program addresses the needs of the low income families identified above and allows renters to become homeowners. This assistance is limited to the Tribe's designated service area for this program, which is all California counties.						
Eligible Activity Number	(13) Down Payment/Closing Cost Assistance [202(2)]						
Intended Outcome Number	(2) Assist renters to become homeowners						
APR: Actual Outcome Number	(2) Assist renters to become homeowners						
Who Will Be Assisted	Low income FIGR Tribal Citizen Households where the household's income will not exceed 80% of median income for the service area.						
Types and Level of Assistance	The type of assistance will be monetary assistance for down payment assistance of a homeownership unit. The level of assistance will be up to \$75,000.00.						
APR : Describe Accomplishments	Although one application was approved early in the program year, no loans/grants were made using this program during 2021. (see explanation below)						
Planned and Actual Outputs for 12-Month Program Year	<table><tr><td></td><td>Planned</td><td>APR - Actual</td></tr><tr><td>Number of Units to be Completed in Year</td><td>3</td><td>0</td></tr></table>		Planned	APR - Actual	Number of Units to be Completed in Year	3	0
	Planned	APR - Actual					
Number of Units to be Completed in Year	3	0					
APR: If the program is behind schedule, explain why	In March of the program year, the Tribe initiated a Tribally funded down payment assistance program. The program initiated by the Tribe was very successful and 18 households received loans/grants to be able to purchase homes in 2021. With the success of the Tribe's new down payment assistance program, this activity was eliminated from the Tribe's Indian Housing Plan starting with the 2022 program year.						

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$131,592.00	\$0.00	\$131,592.00	\$6,412.57	\$36,489.00	\$42,901.57

2021-05 : Homebuyer,Tenant and Rental Assistance Participant Financial Education

Program Name:	Homebuyer,Tenant and Rental Assistance Participant Financial Education
Unique Identifier:	2021-05
Program Description (continued)	One on one financial counseling in budget management, Financial Literacy and credit counseling for eligible Tribal Citizen households seeking to stabilize and improve their financial knowledge, credit worthiness and become self sufficient to be eligible for the Down Payment Assistance, meet Rental Assistance Program requirements, and qualify for Private Market homeownerships, etc., that are available to those Tribal Citizens with a good credit history.
Eligible Activity Number	(15) Other Homebuyer Assistance Activities [202(2)]
Intended Outcome Number	(2) Assist renters to become homeowners
APR: Actual Outcome Number	(2) Assist renters to become homeowners
Who Will Be Assisted	Low income FIGR Tribal Citizen Households where the household's income will not exceed 80% of median income for the service area.
Types and Level of Assistance	The type of assistance will be in trainings and one on one counseling, workshops and referrals of networking agencies for the FIGR Tribal Citizens in order to ensure that they are provided the information and assistance required to stabilize their credit and budgets in order to become qualified applicants in the Tribe's housing programs.
APR : Describe Accomplishments	The program assisted 63 low-income households and in our home rehabilitation, transitional housing, and rental assistance programs with economic independence and financial counseling required for the majority of the participants. Elder and disabled households in the programs were not required to participate. Participation was primarily with the new Housing Advocate through phone, email communications, and an occasional home visit due to office being closed to the public for the majority of the program year. In addition to those required to participate in counseling sessions, approximately 20 households voluntarily worked with the Housing Advocate with financial planning, budgeting, and credit counseling as they prepared for, and/or participated in,

	the new down payment assistance program offered by the Tribe.	
Planned and Actual Outputs for 12-Month Program Year	Planned Number of Units to be Completed in Year	APR - Actual 35 63
APR: If the program is behind schedule, explain why	N/A	

Uses of Funding:

The Uses of Funding table information can be entered here for each individual program or can be entered for all programs within Section 5(b): Uses of Funding. Changes to data on either page location will update in both Section 3: Program Descriptions and Section 5(b): Uses of Funding accordingly.

Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
\$73,954.00	\$0.00	\$73,954.00	\$78,363.85	\$0.00	\$78,363.85

Maintaining 1937 Act Units, Demolition, and Disposition

Maintaining 1937 Act Units	N/A
Demolition and Disposition	N/A

Budget Information

Sources of Funding

Funding Source	Estimated(IHP) /Actual(APR)	Amount on hand at beginning of program year (F)	Amount to be received during 12-month program year (G)	Total sources of funds (H=F+G)	Funds to be expended during 12-month program year (I)	Unexpended funds remaining at end of program year (J=H-I)	Unexpended funds obligated but not expended at end of 12-month program year (K)
IHBG Funds:	Estimated	\$917,049.00	\$640,412.00	\$1,557,461.00	\$1,212,947.00	\$344,514.00	
	Actual	\$909,827.37	\$698,518.00	\$1,608,345.37	\$986,022.54	\$622,322.83	\$0.00
IHBG Program Income:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$36,489.00	\$36,489.00	\$36,489.00	\$0.00	\$0.00
Title VI:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Title VI Program Income:	Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	
	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
1937 Act Operating	Estimated	\$0.00		\$0.00	\$0.00	\$0.00	
	Actual	\$0.00		\$0.00	\$0.00	\$0.00	\$0.00

Reserves:

Estimated	\$0.00	\$0.00	\$0.00	\$0.00
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Carry Over 1937 Act

Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Funds:**LEVERAGED FUNDS**

Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
ICDBG Funds:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
Other Federal Funds:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		
LIHTC:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Estimated	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00		

Non-Federal Funds:	Actual	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
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Estimated	\$917,049.00	\$640,412.00	\$1,557,461.00	\$1,212,947.00	\$344,514.00	\$0.00	
Total:	Actual	\$909,827.37	\$735,007.00	\$1,644,834.37	\$1,022,511.54	\$622,322.83	\$0.00

Uses of Funding

Program Name	Unique Identifier	Prior and current year IHBG (only) funds to be expended in 12-month program year (L)	Total all other funds to be expended in 12-month program year (M)	Total funds to be expended in 12-month program year (N=L+M)	Total IHBG (only) funds expended in 12-month program year (O)	Total all other funds expended in 12-month program year (P)	Total funds expended in 12-month program year (Q=O+P)
Rental Assistance Program for Low Income Households	2021-01a	\$670,940.00	\$0.00	\$670,940.00	\$581,109.25	\$0.00	\$581,109.25
Rental Assistance Program for Moderate Income Elder Households	2021-01b	\$50,000.00	\$0.00	\$50,000.00	\$54,361.33	\$0.00	\$54,361.33
Operation and Maintenance of Current Housing Units	2021-02	\$20,808.00	\$0.00	\$20,808.00	\$18,412.47	\$0.00	\$18,412.47
Home Rehabilitation Assistance	2021-03	\$117,548.00	\$0.00	\$117,548.00	\$97,254.83	\$0.00	\$97,254.83
Homebuyer Down Payment Assistance	2021-04	\$131,592.00	\$0.00	\$131,592.00	\$6,412.57	\$36,489.00	\$42,901.57
Homebuyer, Tenant and Rental Assistance	2021-05	\$73,954.00	\$0.00	\$73,954.00	\$78,363.85	\$0.00	\$78,363.85

**Participant
Financial
Education**

Planning and Administration	\$148,105.00	\$0.00	\$148,105.00	\$150,108.24	\$0.00	\$150,108.24
Loan Repayment (describe in 3 & 4 below)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total	\$1,212,947.00	\$0.00	\$1,212,947.00	\$986,022.54	\$36,489.00	\$1,022,511.54
APR	N/A					
APR	No Leveraging was used this year and no loan repayments were required.					

Other Submission Items

Useful Life/Affordability Period(s)	The useful life of homes assisted with NAHASDA funds will be based upon the following schedule: Rehabilitated units \$10,000.00 and under = 3 years useful life. Rehabilitated units \$10,001.00 - \$20,000.00 = 5 years useful life. Rehabilitated units \$20,001.00 - \$80,000.00 = 10 years useful life. Down Payment Assistance Grants = 10 years useful life. New construction of mobile or manufactured homes = 15 years useful life. New construction of stick built conventional construction of home = 15 years useful life.		
Model Housing and Over-Income Activities	None		
Tribal and Other Indian Preference Does the tribe have a preference policy?	YES The Federated Indians of Graton Rancheria will provide services first to enrolled Tribal Citizens.		
Anticipated Planning and Administration Expenses Do you intend to exceed your allowable spending cap for Planning and Administration?	NO		
Actual Planning and Administration Expenses Did you exceed your allowable spending cap for Planning and Administration?	NO		
Does the tribe have an expanded formula area?:	NO		
Total Expenditures on Affordable Housing Activities:	AIAN Households with Incomes 80% or Less of Median Income		
	All AIAN Households		
	IHBG Funds	\$0.00	\$0.00
	Funds from Other Sources	\$0.00	\$0.00
For each separate formula area, list the expended amount	AIAN Households with Incomes 80% or Less of Median Income		
	All AIAN Households		
	IHBG Funds	\$0.00	\$0.00
	Funds from Other Sources	\$0.00	\$0.00

Indian Housing Plan Certification Of Compliance

In accordance with applicable statutes, the recipient certifies that it will comply with Title II of the Civil Rights Act of 1968, Section 504 of the Rehabilitation Act of 1973, the Age Discrimination Act of 1975, and other federal statutes, to the extent that they apply to tribes and TDHEs, see 24 CFR 1000.12.

YES

In accordance with 24 CFR 1000.328, the recipient receiving less than \$200,000 under FCAS certifies that there are households within its jurisdiction at or below 80 percent of median income.

Not Applicable

The recipient will maintain adequate insurance coverage for housing units that are owned and operated or assisted with grant amounts provided under NAHASDA, in compliance with such requirements as may be established by HUD:

YES

Policies are in effect and are available for review by HUD and the public governing the eligibility, admission, and occupancy of families for housing assisted with grant amounts provided under NAHASDA:

YES

Policies are in effect and are available for review by HUD and the public governing rents charged, including the methods by which such rents or homebuyer payments are determined, for housing assisted with grant amounts provided under NAHASDA:

YES

Policies are in effect and are available for review by HUD and the public governing the management and maintenance of housing assisted with grant amounts provided under NAHASDA:

YES

Tribal Wage Rate Certification

1. You will use tribally determined wage rates when required for IHBG-assisted construction or maintenance activities. The Tribe has appropriate laws and regulations in place in order for it to determine and distribute prevailing wages.

YES

2. You will use Davis-Bacon or HUD determined wage rates when required for IHBG-assisted construction or maintenance activities.

3. You will use Davis-Bacon and/or HUD determined wage rates when required for IHBG-assisted construction except for the activities described below.

4. List the activities using tribally determined wage rates:

Self Monitoring

Do you have a procedure and/or policy for self-monitoring?:

YES

Pursuant to 24 CFR 1000.502 (b) where the recipient is a TDHE, did the TDHE provide periodic progress reports including the self-monitoring report, Annual Performance Report, and audit reports to the Tribe?:

YES

Did you conduct self-monitoring, including monitoring sub-recipients?:

YES

Self-Monitoring Results: Describe the results of the monitoring activities, including corrective actions planned or taken.

The self-monitoring process included a review of the 2020 APR, the 2021 IHP, the 2021 APR draft, the quarterly SF-425's for the IHBG program, the 2021 IHBG budget, the individual program policies, a sample of individual participant and applicant files for each program, inspection reports for tribally owned homes, and review of the housing related audit finding from 2021, and other housing related topics. During the program year, as part of the 2020 audit, the Tribe received a finding related to the HUD IHBG award for a material weakness in internal control over compliance due to lack of segregation of duties. Due to the department being short-staffed, the same individual that prepared and processed the program participant eligibility and rental assistance payments was also the individual that reviewed the information and calculations. Immediately after the issue was raised during the audit and following the recent hire of an additional staff member, the Housing Department implemented internal control improvements so that the individual responsible to prepare the eligibility and assistance calculations was different from the individual that reviewed the eligibility and assistance calculations, as recommended by the Tribe's auditor. There were no specific findings because of the self-monitoring review of the FIGR 2021 IHP. There were no other corrective actions planned or taken, nor any specific findings as a result of the self-monitoring process.

Inspections

Activity (A)	Total number of Units (B)	Units in standard condition (C)	Units needing rehabilitation (D)	Units needing to be replaced (E)	Total number of units inspected (F=C+D+E)
1937 Housing Act Units:					
a. Rental	0	0	0	0	0
b. Homeownership	0	0	0	0	0
c. Other	0	0	0	0	0
1937 Act Subtotal:	0	0	0	0	0
NAHASDA Assisted Units:					
a. Rental	2	0	0	0	0
b. Homeownership	0	0	0	0	0
c. Rental Assistance	2	0	0	0	0
d. Other	2	0	0	0	0
NAHASDA Subtotal:	6	0	0	0	0
Total:	6	0	0	0	0

2. Did you comply with your inspection policy?

YES

Audits

1. Did you expend \$750,000 or more in total Federal awards during the previous fiscal year ended (24 CFR 1000.544) ?

YES

If Yes, an audit is required to be submitted to the Federal Audit Clearinghouse. If No, an audit is not required.	
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Public Availability

Did you make this APR available to the citizens in your jurisdiction before it was submitted to HUD (24 CFR 1000.518)?	YES
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If you are a TDHE, did you submit this APR to the Tribe	YES
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If you answered No to question #1 and/or #2, provide an explanation as to why not and indicate when you will do so:	
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Summarize any comments received from the Tribe and/or the citizens :	TBD after Tribal Council review and Public Comment period
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Jobs Supported By NAHASDA

Number of Permanent Jobs Supported by Indian Housing Block Grant Assistance(IHBG):	3
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Number of Temporary Jobs Supported by Indian Housing Block Grant Assistance(IHBG):	0
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Narrative (Optional):	
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